



D.O. No. W-11039/3/2017-Water-I
Dated : 01st February, 2018

Dear Madam/Sir,

You are aware that under National Rural Drinking Water Programme (NRDWP), States/UTs will have to plan for each year taking into consideration the ongoing schemes, new schemes as well as schemes which will require augmentation and link to the habitations which are proposed to be covered through these schemes during that financial year. After the annual shelf of projects (DPRs) is finalized for the year 2018-19, it is to be cleared in the State Level Scheme Sanctioning Committee (SLSSC).

2. State Annual Action Plan for NRDWP for the year 2018-19 will have to be sent by 07/02/2018. Presentations and discussions at official level with Ministry of Drinking Water and Sanitation, Government of India in New Delhi will be held in February-March, 2018.

3. The Annual Action Plan 2018-19 may please be prepared as per format available at AAP format IMIS of the Ministry and enclosure-I, keeping in mind the following:

A. Plan Size:

For AAP preparation purposes, the NRDWP allocation for 2018-19 may be taken as **equal to the current year 2017-18 plus anticipated opening balance under different components on 1.4.2018. The estimated cost of schemes taken up should be equal to the quantum of available funds.** However the quantum of funds required for completing unfinished projects should be first taken into account. The physical targets should be fixed based only on available funds for the financial year 2018-19.

B. Issues

The State should provide response/action taken statements on the following:

- Identified Action Points of AAP discussions minutes of 2017-18 (Copy available on website)
- Issues /Problems (If any) in implementation during 2017-18
- Issues regarding UCs and Audited Statement of Accounts

C. Coverage & Quality Sub Plan

- Coverage & Quality Sub Plan has to be prepared for up to 90%** of the planned Allocation added on Opening Balance as on 01.04.2018 based on the restructured NRDWP.
- A separate 2 % Plan for JE/AES affected districts will have to be prepared as per restructured NRDWP.**
- As per the restructured NRDWP, as part of the approach in the remaining period of 14th Finance Commission period (i.e. up to 2019-20), the ultimate goal is to focus on providing Piped Water Supply only. No hand pumps, bore-well etc is hereby allowed from the NRDWP funds. **Hence States should utilize NRDWP funds for designing of Piped Water Supply Schemes with 100% household connections to the maximum extent.**
- In view of the depleting groundwater levels, States are advised to take up more and more number of surface water based schemes in the interest of sustainability of service delivery and in preference to groundwater based schemes.
- Also as part of the approach in the restructured NRDWP, convergence between drinking water supply schemes under NRDWP and toilet construction and use under Swachh Bharat Mission (G)

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to saturate Gram Panchayats and make them open defecation free (ODF) by 2nd October, 2019 should be achieved. For the purpose of better convergence it is suggested that:

- a) **Gram Panchayats with 100% household toilet coverage as reported on Swachh Bharat Mission (G) website in your State are identified and priority should be given to provide PWS in these habitations.**
- b) **Quality affected and partially covered habitations in these GPs may be taken up on priority for provision of piped water supply or other water supply schemes.**

Vi Among the **ongoing** schemes it is reiterated here that the states are required to complete the schemes which are at advance stage of completion in the following order:

- a) 100 % physically completed but financially incomplete
 - b) More than 75 % but less than 100 % physically complete
 - c) More than 50 % but less than 75 % physically complete
 - d) More than 25 % but less than 50 % physically complete
 - e) While doing so, priorities are to be accorded by the states to the schemes meant for Arsenic / Fluoride affected habitation and Piped Water Supply Schemes for Sansad Adarsh Gram Yojana (SAGY) Gram Panchayats (GPs) / Declared Open Defecation Free (ODF) villages/JE/AES affected 60 districts.
 - f) For the schemes which are less than 25 % completed, state needs to complete them on their own budget.
 - g) Rejuvenation and Modernization (R&M) of schemes that is close to the end of their design period.
- D.** All rural Government schools / government anganwadis /community / local body buildings without drinking water supply should be provided with a stand post / tap connection from the ongoing schemes outside their premises.
- E.** To ensure the availability of potable drinking water on sustainable basis in SC/ST concentrated habitations, the State/UTs are required to earmark at least 22% of the NRDWP funds for drinking water supply to the SC concentrated habitations and another minimum 10% for the ST concentrated habitations. In cases where, the States have achieved full coverage of SC/ST concentrated habitations, such States/UTs may be taken up augmentation schemes in the SC/ST habitations to raise service levels. Where the percentage of SC or ST population in a particular State or the existing coverage of SC/ST concentrated habitations warrants earmarking/ utilization of more than stipulated provisions, additional funds should also be utilized. The list of such SC and ST concentrated habitations is indicated in the MDWS website.
- F.** Priority in coverage should also be given to minority concentrated habitations in the 116 minority-concentrated districts identified by the Ministry of Minority Affairs, Government of India which is also indicated in the MDWS website.
- G.** The 88 Districts covered under Integrated Action Plan (affected by Left Wing Extremism) and with predominant tribal population as per the Government of India list which is also available in MDWS website should be given higher per capita allocation and release of funds.

H. Support Activities Sub- Plan

- **Support Activities Sub-Plan:** Up to 5% of release of funds out of coverage fund meant for support activity has to be prepared taking into account HRD, IEC, Community involvement including incentive to ASHA workers @ Rs 75 per household connection, WSSO, DWSM, BRC, MIS, Computerization, Monitoring & Evaluation, Research and Development.
- Activity plan for involving community in planning, monitoring and O&M of schemes including VWSC/ Pani Samiti formation/ activation, awareness generation and training activities has to be prepared.
- **Water Quality Monitoring and Surveillance Plan:** Up to 5% of release of funds out of coverage fund meant for WQM&S activity has to be prepared giving the numbers of grass root level workers (glws) to be trained (i.e. remaining glws @ 5 per GP and refresher training), FTKs to be

distributed @ 1 per GP (more in case of large GPs), State/district and sub-district labs to be set up/upgraded, samples to be tested (about 3000 per annum in district, sub-district labs). All sources to be tested at least once a year for chemical and twice for bacteriological contamination.

I. **Jalmani Plan** giving the balance number of schools to be covered. States having unspent balance are requested to recoup the same to this Ministry.

J. **Sustainability (Functionality) Funds:**

25% of the NRDWP allocation at the Central level is allocated to State based on report of third party evaluation on the sustainability / functionality of the completed Piped Water Supply schemes in the States. States have to ensure that the entries made by them into IMIS towards completed Piped water Supply schemes be corrected / updated before meeting of AAP. States should also update functionality status of the completed PWS schemes regularly (monthly) in IMIS as the same is necessary for correct evaluation during third party visit.

K. **Normal Component (Reimbursement mode):** 25% of the NRDWP allocation at the Central level will be allocated to State in the beginning of the Financial Year. The deadline for submission of claiming this component funds will be 30th November of the financial year. The funds will be released based on the Pre financing of notional allocation under this component (full / part) along with equal amount of state share. For NE & Himalayan States, this will be 1/9th of the notional allocation along with equal amount of state share and for UTs, this will not be required.

L. States may also provide the progress made during 2017-18 as per format:

Sr. No.	No. of completed SVS	No. of Completed MVS	No. of habitations covered	No. of populations benefitted

M. Plan for geo-tagging of assets and report regarding physical progress of PWS schemes based on milestone be provided by the states.

N. Funds to be mobilized by the States other than State matching share corresponding to NRDWP releases

O. **Convergence**

- i. **Gram Panchayats with 100% Toilet coverage in all habitations should be taken up on priority for coverage with piped drinking water supply schemes or other water supply schemes to raise them to 100% water supply coverage status also.**
- ii. Waste water management plan to be prepared giving numbers and estimated cost of low cost waste water management structures to be taken up by convergence with MNREGS/ SBM (G) etc. in habitations to be served by PWSS.
- iii. O&M Plan showing sources of funds for O&M of rural drinking water supply systems to be prepared.

4. The Action Plan 2018-19 will have to be prepared in the AAP format available at IMIS of the Ministry with 7 formats for districts and for the States. The District Plans should be uploaded along with the State Summary Plan on or before **07.02.2017** on IMIS. The soft copies of the format will be available for data entry from **31.01.2018**.

All targets of habitations to be covered and sustainability structures with locations should be marked on IMIS before plan discussions. **It may please be noted that first installment of NRDWP funds would be released only after marking of targets on IMIS as per plan discussions. The above should be submitted on or before 5th February, 2018 in hard and soft copies and entered on the Ministry's website.**

5. State AAP Presentations:

Presentations should include

- Response/Action taken by State on action points identified in 2017-18 AAP discussion;
- Action taken on Issues in 2017-18;
- Issues regarding UCs and ASAs;
- Physical performance against targets for 2017-18;
- Financial performance in overall and in SC, ST concentrated habitations and in minority concentrated and LWE districts;
- Performance against targets as given in the templates;
- Updating annual and monthly data on IMIS;
- Details of incomplete works sanctioned in 2017-18 and earlier;
- Good and Innovative practices adopted;
- Details of AAP 2018-19 with sub-plans.

Schedule of presentations to be made by States/UTs is enclosed and states are required to adhere to this schedule approved by Secretary (DWS).

With *Regards,*

Yours Sincerely,
Satya
7/12/18
(Satyabrata Sahu)

To,

Pr. Secretary/Secretary in Charge of Rural Drinking Water Supply in the States/UTs

Schedule for AAP Discussions (2018-19) with States/UTs under National Rural Drinking Water Programme				
SN	State	Date	Day	Session
1	Karnataka	15.02.2018	Thursday	10:30 AM-12.00 PM
2	Haryana			14:30 PM-16:00 PM
3	Tamil Nadu	16.02.2018	Friday	10:30 AM-12.00 PM
4	Himachal Pradesh			14:30 PM-16:00 PM
5	Bihar	19.02.2018	Monday	10:30 AM-12.00 PM
6	Gujarat			14:30 PM-16:00 PM
7	Jammu and Kashmir	20.02.2018	Tuesday	10:30 AM-12.00 PM
8	Jharkhand			14:30 PM-16:00 PM
9	Andhra Pradesh	21.02.2018	Wednesday	10:30 AM-12.00 PM
10	Kerala			14:30 PM-16:00 PM
11	Madhya Pradesh	22.02.2018	Thursday	10:30 AM-12.00 PM
12	Maharashtra			14:30 PM-16:00 PM
13	Odisha	23.02.2018	Friday	10:30 AM-12.00 PM
14	Punjab			14:30 PM-16:00 PM
15	Rajasthan	26.02.2018	Monday	10:30 AM-12.00 PM
16	Chhattisgarh			14:30 PM-16:00 PM
17	Telangana	27.02.2018	Tuesday	10:30 AM-12.00 PM
18	Uttar Pradesh			14:30 PM-16:00 PM
19	Uttarakhand	28.02.2018	Wednesday	10:30 AM-12.00 PM
20	West Bengal			14:30 PM-16:00 PM
21	Arunachal Pradesh	06.03.2018	Tuesday	10:30 AM-12.00 PM
22	Assam			14:30 PM-16:00 PM
23	Manipur	07.03.2018	Wednesday	10:30 AM-12.00 PM
24	Mizoram			14:30 PM-16:00 PM
25	Meghalaya	09.03.2018	Friday	10:30 AM-12.00 PM
26	Nagaland			14:30 PM-16:00 PM
27	Sikkim	12.03.2018	Wednesday	10:30 AM-12.00 PM
28	Tripura			14:30 PM-16:00 PM
29	Goa	13.03.2018	Friday	10:30 AM-11.30 PM
30	Andaman and Nicobar			12:00 PM-13:00 PM
31	Puduchery			15:00PM-16:00PM